Investigating Epigenetic Mechanisms of Acute Ketamine Treatment in Rats Exposed to Early Life Adversity

Yanevith Peña '25

Early life adversity (ELA) is often defined as experiences of abuse, economic hardship, and/or neglect during childhood. There is evidence to suggest that those with a history of childhood adversity have long-lasting structural changes during brain development¹, and are more likely to develop psychiatric disorders in later life, particularly anxiety disorders and major depression disorder². While there are current treatments for anxiety and depression, many patients are still classified as "treatment-resistant", meaning that they have not significantly improved upon standard treatment (such as SSRI/SNRIs). Acute low-dose ketamine treatment has been recently found to have promising qualities as a potential treatment for depression and anxiety^{3,4,5}, especially for those who are resistant to common treatments⁶. However, the mechanism by which ketamine produces its antidepressant action is still largely unknown. A better understanding of its mechanism of action would allow us to provide more appropriate, background-informed care and identify promising alternative treatments.

While the mechanisms behind its potentially antidepressant/anxiolytic effects are not well-studied, one theory is that ketamine increases the output of excitatory pathways by dysregulating inhibitory interneurons^{7,8}, such as parvalbumin-containing (PV+) interneurons. PV+ interneurons have been found to be vulnerable to early-life adversity experiences. A change in the inhibitory tone from the prefrontal cortex (executive control) to the amygdala (fear/emotion) could result in atypical behavioral responses to perceived threats.

Epigenetic modifications have been implicated as a pathway through which specific protein expression levels could vary, and therefore inhibit or decrease the function that the protein is originally intended to serve. One specific epigenetic mechanism of interest is DNA methylation. There is evidence to suggest that DNA methylation is involved with the inhibition-excitation imbalance in the brain that is often present in MDD patients¹⁰. DNA methylation has been associated with emotional dysfunction developed following ELA^{11,12} and previous research from the Honeycutt lab suggests that epigenetic changes may be partly responsible for the dysregulation of PV+ prefrontal cortex interneurons following ELA⁹.

The purpose of this study is to investigate ketamine's potential epigenetic antidepressant mechanism of action. To study this, we use a translational model of caregiver deprivation in rats. The rat pups are separated from their mothers for 3-4 hours a day from day 2 to day 21. Then, at around day 55, they receive a single 10mg/kg dose of ketamine and undergo anxiety behavioral testing 5-6 days after treatment. Half of the animals are given a saline injection to control for the experience of receiving a subcutaneous injection without the potential therapeutic effects of the drug. Brain collection happened 7 days after treatment. The prefrontal cortex and hippocampus from one hemisphere were frozen for future DNA extraction and other DNA methylation assays

Preliminary data from work this summer do not reveal a statistically significant effect of acute ketamine treatment on anxiety-like behavior in male rats with a history of early life adversity compared to the control groups (control/ketamine, control/saline, and ELA/saline). However, this could be due to the relatively small sample sizes. Further work during the school year will increase group sizes and provide a clearer picture of behavioral outcomes, as well as the addition of sex as another variable. Additional upcoming work is the processing of brain tissue from these subjects collected during the summer to investigate DNA methylation patterns in the brain regions of interest (with a focus on PV+ interneurons in the prefrontal cortex).



Faculty Mentor: Dr. Jennifer Honeycutt Funded by the Surdna Foundation Undergraduate Research Fellowship

References

- [1] Jennifer A Honeycutt, Camila Demaestri, Shayna Peterzell, Marisa M Silveri, Xuezhu Cai, Praveen Kulkarni, Miles G Cunningham, Craig F Ferris, Heather C Brenhouse (2020) Altered corticolimbic connectivity reveals sex-specific adolescent outcomes in a rat model of early life adversity eLife 9:e52651
- [2] Krugers, Harm J., J. Marit Arp, Hui Xiong, Sofia Kanatsou, Sylvie L. Lesuis, Aniko Korosi, Marian Joels, and Paul J. Lucassen. 2017. "Early Life Adversity: Lasting Consequences for Emotional Learning." SI:Stressors in Animals 6 (February): 14–21.
- [3] Garcia, L. et al. (2009). Ketamine treatment reverses behavioral and physiological alterations induced by chronic mild stress in rats. Progress in neuro-psychopharmacology & biological psychiatry. https://pubmed.ncbi.nlm.nih.gov/19439250/
- [4] Taylor, J et al. (2017). *Ketamine for social anxiety disorder: A randomized, placebo-controlled crossover trial.* Nature News. https://www.nature.com/articles/npp2017194
- [5] Ballard, E. et al. (2014). *Improvement in suicidal ideation after ketamine infusion: Relationship to reductions in depression and anxiety*. Journal of psychiatric research. https://pubmed.ncbi.nlm.nih.gov/25169854/
- [6] Chen, J. (2022). *How ketamine drug helps with depression*. Yale Medicine. Retrieved September 20, 2022, from https://www.yalemedicine.org/news/ketamine-depression
- [7] Miller, O et al. (2015). Two cellular hypotheses explaining the initiation of ketamine's antidepressant actions: Direct inhibition and disinhibition. Neuropharmacology. https://www.sciencedirect.com/science/article/pii/S0028390815300356?casa_token=Dj0hEbVV0ssAAAAA%3Ay sb1-SCQeD6Hbzxqfz7DuoDUJRSrtzjLyM47dBlMKyJi5a9sWDvl85OPeB52ISprj6fqSggRTw
- [8] Monteggia , L. M., & Zarate, C. (2015). Antidepressant actions of ketamine: From molecular mechanisms to clinical practice. Current opinion in neurobiology. https://pubmed.ncbi.nlm.nih.gov/25562451/
- [9] Noel, E. S., Chen, A., Peña, Y. A., & Honeycutt, J. A. (2024). Early life adversity drives sex-dependent changes in 5-mC DNA methylation of parvalbumin cells in the prefrontal cortex in rats. *bioRxiv : the preprint server for biology*, 2024.01.31.578313. https://doi.org/10.1101/2024.01.31.578313
- [10] Bush NR, Edgar ED, Park M, MacIsaac JL, McEwan LM, Adler NE, Essex MJ, Kobor MS, Boyce WT (2018). The biological embedding of early-life socioeconomic status and family adversity in children's genome-wide methylation. Epigenomics, 10(11):1445-1461.
- [11] Brown, Amanda, Laura M. Fiori, and Gustavo Turecki. "Bridging Basic and Clinical Research in Early Life Adversity, DNA Methylation, and Major Depressive Disorder." *Frontiers in Genetics* 10 (2019): 229. https://doi.org/10.3389/fgene.2019.00229.
- [12] Szyf, Moshe. "DNA Methylation, Behavior and Early Life Adversity." *Epigenetics: Development, Diseases and Memories* 40, no. 7 (July 20, 2013): 331–38. https://doi.org/10.1016/j.jgg.2013.06.004.