## CPS 130 Homework 13 - Solutions

1. (CLRS 14.1-5) Given an element x in an n-node order-statistic tree and a natural number i, how can the *i*th successor of x in the linear order of the tree be determined in  $O(\log n)$  time?

**Solution:** The data structure should support the following two operations: OS-RANK(T, x), which returns the position of x in the linear order determined by an inorder tree walk of T in  $O(\lg n)$  time, and OS-SELECT(x, i), which returns a pointer to the node containing the *i*th smallest key in the subtree rooted at x in  $O(\lg n)$  time. The *i*th successor of x is given by OS-SELECT(x, OS-RANK(T, x)+I), which will also run in  $O(\lg n)$  time.

2. (CLRS 14.2-1) Show how the dynamic-set queries MINIMUM, MAXIMUM, SUCCES-SOR and PREDECESSOR can each be supported in O(1) worst-case time on an augmented order-statistic tree. The asymptotic performance of other operations should not be affected. (*Hint: Add pointers to nodes.*).

## Solution:

- 3. In this problem we consider a data structure for maintaining a multi-set M. We want to support the following operations:
  - Init(M): create an empty data structure M.
  - Insert(M, i): insert (one copy of) i in M.
  - Remove(M, i): remove (one copy of) *i* from *M*.
  - Frequency(M, i): return the number of copies of i in M.
  - Select(M, k): return the k'th element in the sorted order of elements in M.

If for example M consists of the elements

< 0, 3, 3, 4, 4, 7, 8, 8, 8, 9, 11, 11, 11, 11, 13 >

then Frequency(M, 4) will return 2 and Select(M, 6) will return 7.

Let |M| and ||M|| denote the number of elements and the number of *different* elements in M, respectively.

(a) Describe an implementation of the data structure such that Init(M) takes O(1) time and all other operations take  $O(\log ||M||)$  time.

**Solution:** The idea is to store the **distinct** elements of the multi-set in ared-black tree. For each node x in the tree which stores the value k maintain a counter c(x) = how many elements in the multi-set are equal to k. Init(M) simply initializes the red-black tree. Insert(M, i) first searches for i in the tree: if it exists, it increments its counter, otherwise it inserts it and sets its counter to 1. Remove(M, i) searches for i in the tree and if it exists, it decrements its counter, and if the counter becomes

0 it deletes that node from the tree. Frequency(M, i) searches for i and returns its counter.

In order to implement Select(M, k) we need to augment the tree with extra information such that each node can find out its rank. This is basically the same problem as augmenting a red-black tree in order to answer order statistics queries in  $O(\lg n)$ time. We store in each node x a field size(x) which is the total number of nodes in the subtree rooted at x, which can be computed as

$$size(x) = size(left(x)) + size(right(x)) + counter(x).$$

(b) Design an algorithm for sorting a list L in  $O(|L| \log ||L||)$  time using this data structure.

**Solution:** Insert each element from the list into this data structure and then select each element.

For 
$$i = 1$$
 to  $|L|$   $Insert(M, L[i])$   
For  $i = 1$  to  $|L|$   $Select(M, i)$ .

As the tree will contain ||L|| distinct elements, each call of Insert() or Select() will take  $O(\log ||L||)$  time.